

# Clinical Trials and Immunotherapy for Sarcomas Sarcoma Exchange September 11, 2021

Charles Forscher MD

Medical Director, Sarcoma Program

Samuel Oschin Cancer Center

Cedars Sinai Medical Center



# What are Clinical Trials?

**Clinical Trials are experiments to assess the value of a treatment**

**Essentially all anti-cancer drugs currently available have gone through the clinical trials process**

**The process is designed to select drugs which are both safe and effective and protect the public from ineffective or harmful agents. These are therapeutic trials**

**Clinical trials can also be used to test the impact of lifestyle changes on certain disease states or look for markers of disease in blood or tissue specimens. These are non-therapeutic trials**

# Why bother with Clinical Trials?

**The alternative is the anecdote-“I heard drug X works great against the Coronavirus”**

# Clinical Trials for new drug approval are an arduous process

**Only 3.3% of drugs successfully negotiate the clinical trials process and become approved agents**

**When the drug has a specific known target, the success rate improves to approximately 10%**

**The cost of bringing a new drug through the approval process is estimated at approximately \$648 million (range \$157.3 million to \$1950.8 million)**

**Median time to develop a new cancer drug is 7.3 years**

# What are the phases of Clinical Trials?

**Phase 1 trials are the initial studies of a drug in people. These agents are selected for study based upon pre-clinical evaluation in the laboratory**

**Often these are first-in-human studies**

**Typically start with a low dose based upon information from animal studies**

**Dose Escalation to look for any unacceptable side effects and to find a recommended dose and schedule for phase 2 study-RP2D**

**Phase 1 studies also look to see how the agent is metabolized and distributed in the body**

**Not designed to test for efficacy. However approximately 6% of patients on phase 1 studies derive a clinical benefit**

# Phase 1 trials

**These are generally small trials with a limited number of participants**

**In the past these trials were open to a wide variety of patients whose disease had progressed on all known active agents or whose disease had no established effective treatment**

**Thus a phase 1 trial might include patients with lymphoma, carcinoma, sarcoma and melanoma**

**With the advent of targeted therapies, some phase 1 trials limit participation to subjects with known or suspected targets, potentially limiting access for sarcoma patients to some clinical trials.**

**Some trials are now disease agnostic and can include all disease types with a specific marker. Example-recent studies of NTRK fusions entered patients across multiple tumor types.**

# Phase 2 Trials

**These are trials which build upon the knowledge gained from the Phase 1 trial**

**They test the agent against a specific disease or target**

**These trials seek to determine the efficacy of the drug and generally include a larger number of patients than Phase 1 studies**

**Sometimes Phase 1b/2 studies are designed to seamlessly progress from one to the other**

**These studies are used to make the Go/No Go determination to proceed to a phase 3 study**

## Phase 3 Trials

**These are larger trials designed to test the new agent against the existing standard of care or against a placebo if no standard treatment exists**

**To determine if the new regimen is “better”**

**“Better” will be designated by the trial endpoint-Progression Free Survival, Overall Survival, Less Toxicity, Quality of Life**

**Large, expensive trials which can be multi-center or multi-national**

**Successful completion of a Phase 3 trial is used to obtain regulatory approval for the agent to be marketed for use**

# Assessment of Response on Clinical Trials

## RECIST 1.1

### Response Evaluation Criteria in Solid Tumors

**Complete Response-Complete disappearance of all target lesions. Any pathologic lymph nodes must have reduction in short axis to < 10mm.**

**Partial Response-At least a 30% reduction in the sum of diameters of target lesions, taking as a reference baseline sum parameters**

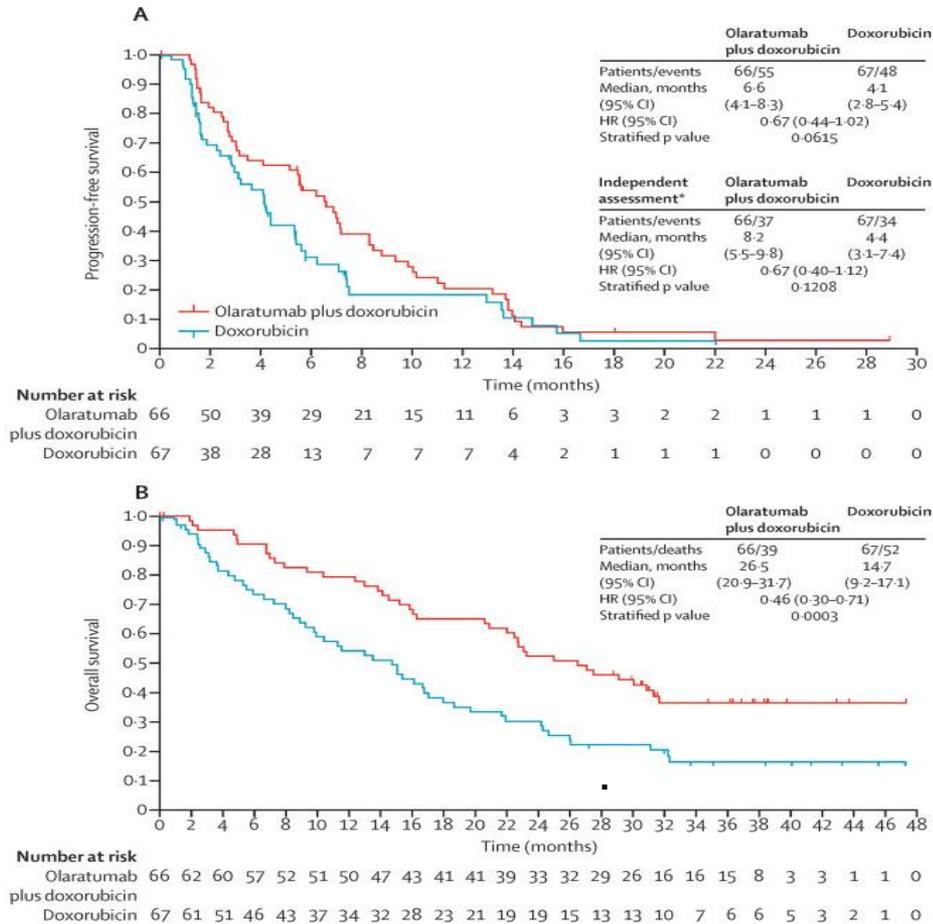
**Progressive Disease-At least a 20% increase in the sum of diameters of target lesions. New lesions**

**Stable Disease-Neither sufficient shrinkage to qualify for PR or sufficient growth to qualify for PD**

**Clinical Benefit Rate-Combines Complete Response, Partial Response and Stable Disease**

# Doxorubicin and Olaratumab for Advanced Sarcoma

## Phase 1b-2 Study

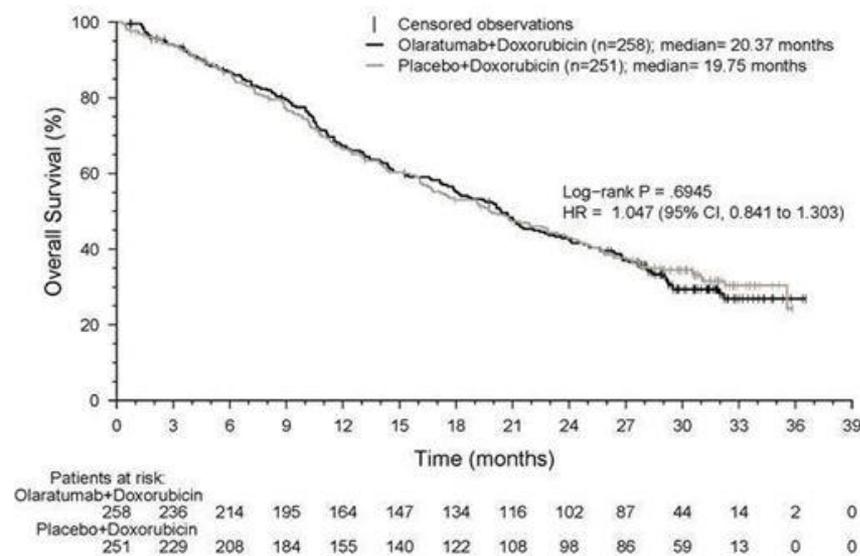


Tap Lancet Oncology  
2016



# Doxorubicin and Olaratumab for Advanced Sarcoma

## Phase 3 Study



Conclusion: Single Agent Doxorubicin still The “Gold Standard” for advanced soft tissue sarcoma. Olaratumab has been withdrawn from use.

Tap Lancet Oncology 2016

# Phase 4 trials

**Phase 4 trials are conducted on already approved agents and may look at issues such as long-term effects**

# What are the components of a good clinical trial?

**Sound science**

**Free of bias**

**Randomization**

**Blinded/Double Blinded**

**Placebo Controlled (May allow crossover)**

**Independent Central Review**

# Clinical Trial Participation

**Approximately 2-7% of adult cancer patients enter clinical trials**

**Approximately 60% of child cancer patients enter clinical trials usually sponsored through the Children's Oncology Group**

---

Klabunde et al South Med J 1999  
Children's Oncology Group website

# Advantages to Clinical Trial Participation

**Access to new treatments before they are commercially available**

**Access to expert physicians with specific knowledge of their disease**

**Altruism-goal of helping others in the future to move medicine forward (particularly true for Phase 1 studies)**

# Disadvantages of Clinical Trial Participation

**New agent can have side effects or unacceptable toxicity**

**New agent may be inferior to existing agent(s) or placebo**

**May wind up on placebo arm with no crossover**

# Barriers to Clinical Trial Participation

**Structural-No trial available**

**Clinical-Patient Ineligible**

**Physician-Offered/Not offered to patient**

**Patient-**

**Desire to determine own treatment**

**Loss of Control-Don't want to be a "guinea pig"**

**Fear of side effects**

**Concerns about Costs**

**Logistical barriers-transportation**

# How to Find a Clinical Trial

The screenshot shows the ClinicalTrials.gov homepage. At the top, there is a navigation bar with a COVID-19 information banner. Below this is the NIH logo and the site name 'ClinicalTrials.gov'. A blue banner states: 'ClinicalTrials.gov is a database of privately and publicly funded clinical studies conducted around the world.' The main content area is divided into two sections. On the left, it says 'Explore 388,717 research studies in all 50 states and in 219 countries.' and includes a button for 'See listed clinical studies related to the coronavirus disease (COVID-19)'. Below this is a disclaimer: 'IMPORTANT: Listing a study does not mean it has been evaluated by the U.S. Federal Government. Read our disclaimer for details.' and a note about consulting a healthcare provider. On the right, there is a 'Find a study' search form with fields for Status (Recruiting and not yet recruiting studies, All studies), Condition or disease (with an example: breast cancer), Other terms (with an example: NCT number, drug name, investigator name), and Country. There are 'Search' and 'Advanced Search' buttons. At the bottom of the search form, there are links for 'Help', 'Studies by Topic', 'Studies on Map', and 'Glossary'. The Windows taskbar is visible at the bottom of the browser window, showing the time as 3:06 PM on 9/2/2021.

# Immunotherapy

# Immunotherapy

**Goal of harnessing the body's immune system against a malignant tumor**

**Not a new concept-Dr. Coley was head of the Bone Tumor service at Memorial Hospital in NY. Joined the staff in 1890s and learned of a patient with a malignant head and neck tumor which regressed after the patient developed erysipelas. He examined the patient and found no evidence of cancer.**

**He then began injecting patients heat-killed *Streptococcus* and *Serratia marcescens* which became known as Coley's toxin.**



Dr. William Coley

# Three Potential Ways to Target the Immune System

## Immune Checkpoint Agents-designed to unleash the immune system

PD1, PDL1 agents

CTLA-4 antibodies

## Vaccines

Target a marker on the tumor cell, NY ESO-1

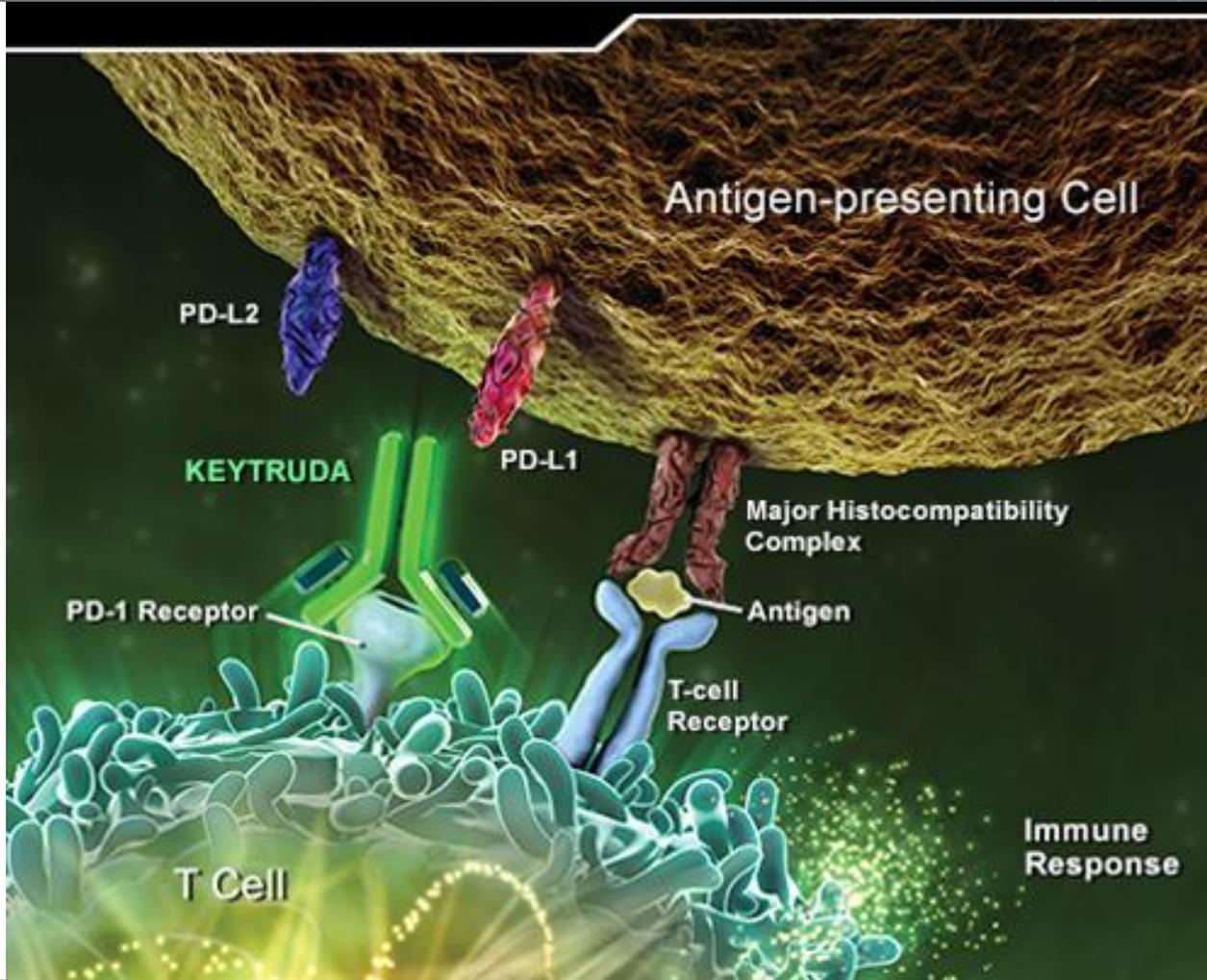
## Adoptive Cell Therapy

CAR-T cells Chimeric Antigen Receptor

T cells transduced with T cell receptor directed against NY ESO-1, HER2

Spear T cells-specific peptide enhanced antibody receptor

# Immunotherapy



# Immunotherapy Trials in Soft Tissue Sarcomas

**TABLE 1** Selected completed immunotherapy studies in soft-tissue sarcoma (STS)

| Reference                                      | Agent   | Phase | Pts (n) | Indication                             | Response rate                                  | Survival                                |
|--|---|-------|---------|--|--|---|
| <i>Checkpoint inhibitors</i>                   |   |       |         |  |  |   |
| Maki <i>et al.</i> , 2013 <sup>19</sup>        | Ipilimumab  | I     | 6       | Advanced SyS                           | 0 of 6   | mOS: 8.75 months                        |
| Tawbi <i>et al.</i> , 2017 <sup>16</sup>       | Pembrolizumab   | II    |         | Selected STSs and bone sarcomas        | 18% in STS, 40% in UPS, 20% in LPS, 10% in SyS | mPFS: 18 weeks; OS: 49 weeks            |
| D'Angelo <i>et al.</i> , 2018 <sup>21</sup>    | Nivolumab with or without ipilimumab  | II    | 96      | Metastatic STS                         | Nivolumab: 5%; Ipilimumab–nivolumab: 16%       | mPFS: 4.1 months; OS: 14.3 months       |
| Toulmonde <i>et al.</i> , 2018 <sup>26</sup>   | Pembrolizumab, cyclophosphamide   | II    | 57      | Advanced STS                           | Solitary fibrous tumour in 1 patient           | NA                                      |
| Wilky <i>et al.</i> , 2019 <sup>22</sup>       | Axitinib, pembrolizumab   | II    |         | ASPS and other STSs                    | 25%, all STS patients; 50.4%, ASPS patients    | 3-Month PFS: 66%; in ASPS patients: 73% |
| <i>Adoptive cell therapy</i>                   |   |       |         |  |  |   |
| Robbins <i>et al.</i> , 2011 <sup>27</sup>     | Adoptively transferred autologous T cells transduced with a T cell receptor directed against NY-ESO-1 | I     | 6       | Metastatic SyS expressing NY-ESO-1     | 4 of 6   | NA                                      |
| <i>Vaccines</i>                                |   |       |         |  |  |   |
| Mahvi <i>et al.</i> , 2002 <sup>28</sup>       | Tumour cells treated with granulocyte macrophage colony-stimulating factor                            | I     | 16      | Melanoma and sarcoma                   | 1 of 16  | NA                                      |
| Dillman <i>et al.</i> , 2004 <sup>29</sup>     | Autologous tumour cell-line-derived vaccines  | I/II  | 23      | Recurrent or metastatic sarcoma        | No objective response                          | 10 Patients lived more than 1 year      |
| Kawaguchi <i>et al.</i> , 2005 <sup>30</sup>   | Vaccination with SYT–SSX junction peptide   | I     | 6       | Metastatic SyS                         | 0 of 6   | NA                                      |
| Finkelstein <i>et al.</i> , 2012 <sup>31</sup> | Radiotherapy with intratumoural injection of dendritic cells  | I/II  | 17      | Neoadjuvant treatment in high-risk STS | 9 of 17  | 1-Year PFS: 70.6%                       |
| Kawaguchi <i>et al.</i> , 2012 <sup>32</sup>   | SYT–SSX breakpoint peptide vaccines   | I/II  | 21      | Metastatic SyS                         | 1 of 21 (stable disease: 6 of 21)              | NA                                      |

Pts = patients; SyS = synovial sarcoma; mOS = median overall survival; UPS = undifferentiated pleomorphic sarcoma; LPS = liposarcoma; mPFS = median progression-free survival; OS = overall survival; NA = not applicable; ASPS = alveolar soft-part sarcoma; PFS = progression-free survival.

Ayodele O,  
Current Oncology  
2020

---

# Pembrolizumab in advanced soft-tissue sarcoma and bone sarcoma (SARC028): a multicentre, two-cohort, single-arm, open-label, phase 2 trial



*Hussein A Tawbi, Melissa Burgess, Vanessa Bolejack, Brian A Van Tine, Scott M Schuetze, James Hu, Sandra D'Angelo, Steven Attia, Richard F Riedel, Dennis A Priebat, Sujana Movva, Lara E Davis, Scott H Okuno, Damon R Reed, John Crowley, Lisa H Butterfield, Ruth Salazar, Jaime Rodriguez-Canales, Alexander J Lazar, Ignacio I Wistuba, Laurence H Baker, Robert G Maki, Denise Reinke, Shreyaskumar Patel*

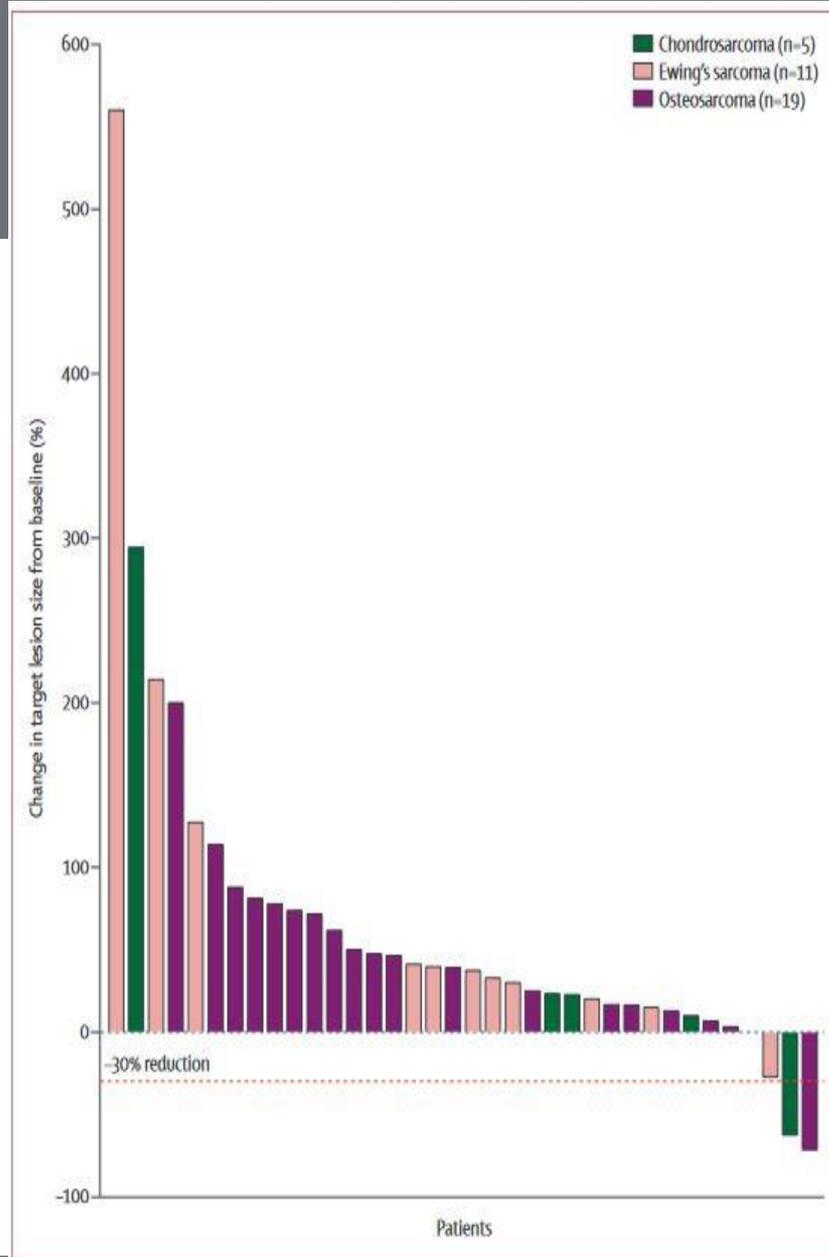


|   | Complete response | Partial response | Stable disease | Progressive disease |
|---|-------------------|------------------|----------------|---------------------|
| Soft-tissue sarcomas (n=40)                 | 1 (3%)            | 6 (15%)          | 15 (38%)       | 18 (45%)            |
| Leiomyosarcoma (n=10)                       | 0 (0%)            | 0 (0%)           | 6 (60%)        | 4 (40%)             |
| Undifferentiated pleomorphic sarcoma (n=10) | 1 (10%)           | 3 (30%)          | 3 (30%)        | 3 (30%)             |
| Liposarcoma (n=10)                          | 0 (0%)            | 2 (20%)          | 4 (40%)        | 4 (40%)             |
| Synovial sarcoma (n=10)                     | 0 (0%)            | 1 (10%)          | 2 (20%)        | 7 (70%)             |
| Bone sarcomas (n=40)                        | 0 (0%)            | 2 (5%)           | 9 (23%)        | 29 (73%)            |
| Chondrosarcoma (n=5)                        | 0 (0%)            | 1 (20%)          | 1 (20%)        | 3 (60%)             |
| Ewing's sarcoma (n=13)                      | 0 (0%)            | 0 (0%)           | 2 (15%)        | 11 (85%)            |
| Osteosarcoma (n=22)                         | 0 (0%)            | 1 (5%)           | 6 (27%)        | 15 (68%)            |

Data are n (%).

**Table 2: Best response in 80 evaluable patients by sarcoma histological subtype**

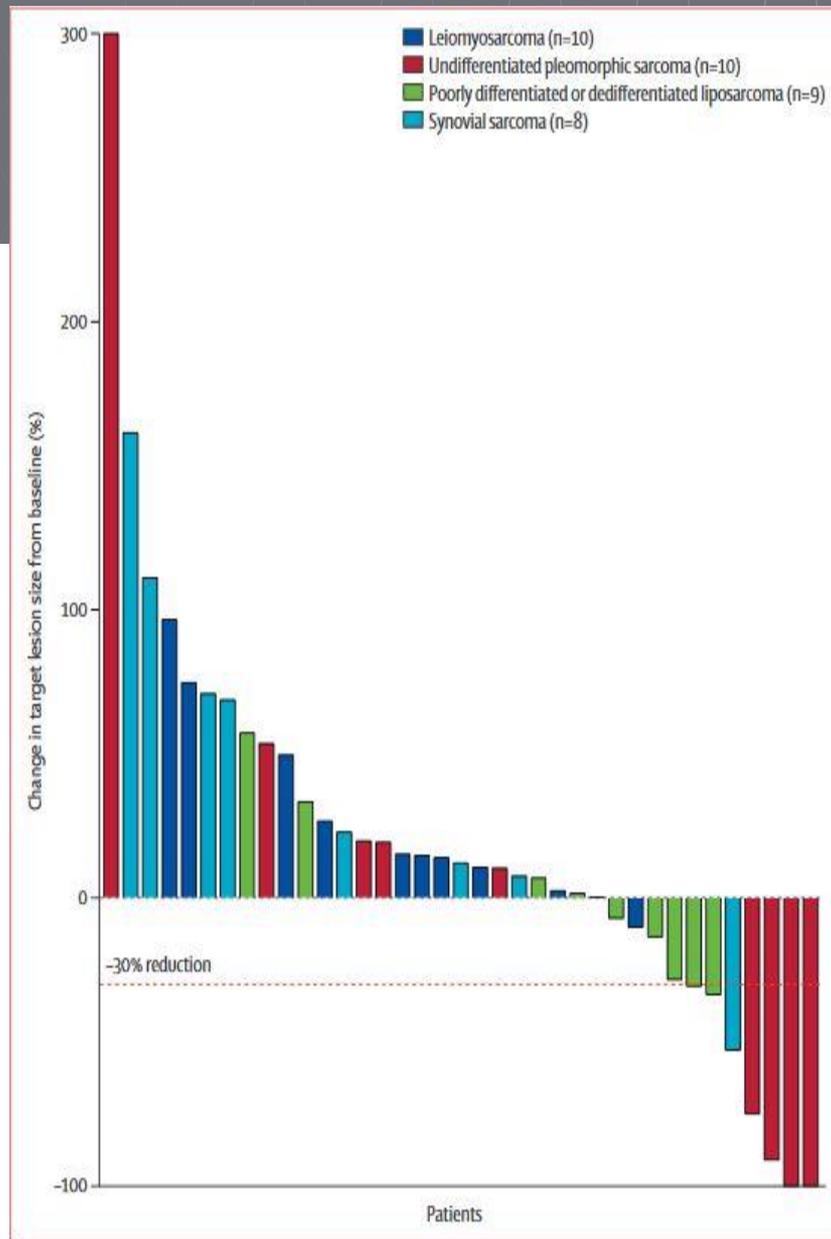




**Figure 4:** Best percentage change from baseline in size of target lesions in patients with bone sarcoma  
 Tumour sizes were calculated as the sum of target lesion diameters. Data for two patients with Ewing's sarcoma and three patients with osteosarcoma are not shown because they did not have a second scan.

Lancet Oncology  
 2017





**Figure 2:** Best percentage change from baseline in size of target lesions in patients with soft-tissue sarcoma. Tumour sizes were calculated as the sum of target lesion diameters. Data for two patients with synovial sarcoma and one patient with liposarcoma are not shown because they did not have a second scan.



**Immune Checkpoint Inhibitors Appear To Be Most Active in Cutaneous Angiosarcoma,  
Chordoma and Alveolar Soft Part Sarcoma**



# Pembrolizumab in cutaneous angiosarcoma



# Toxicity of Immune Checkpoint Inhibitors

Usually well tolerated compared to chemotherapy

Pneumonitis-cough or shortness of breath

Colitis-diarrhea, abdominal pain

Nephritis

Immune endocrine disorders-hypothyroidism, hypopituitarism

Cerebritis-confusion, memory problems

Patients with existing connective tissue diseases can have worsening of symptoms

# Pseudoprogression and Hyperprogression

**Pseudoprogression is the temporary enlargement of a tumor following administration of an Immune checkpoint inhibitor. May be related to an influx of immune cells into the tumor region. Will subside with time.**

**Hyperprogression is the paradoxical accelerated growth of a tumor after exposure to an immune checkpoint agent. May occur in up to 30% of cases. Associated with older age, tumors with MDM2 overexpression, prior exposure to radiation. Mechanism is not well understood**

# Future of Immunotherapy for Sarcomas

**Need a better understanding of the science**

**“Hot” tumors, “Cold” Tumors-Can a cold tumor be made into a hot tumor?**

**Carcinomas which respond well to Immune Agents often have high mutational burdens**

**Many sarcoma have low mutational burdens and have amplifications and translocations as opposed to mutations**

**Combinations of Immune Agents with chemotherapy, tyrosine kinase inhibitors, radiation**

**Possible use of Multiple Immune Agents-Vaccine + Immune Checkpoint Agent**

# ClinicalTrials.gov open trials for adult soft tissue sarcoma

CT Search of: immunotherapy | Re: X CT Search of: immunotherapy | Re: X +

https://clinicaltrials.gov/ct2/results?term=immunotherapy&cond=Soft+Tissue+Sarcoma+Adult&cntry=US&Search=Apply&recrs=a&age\_v=&age=1&gndr=&type=&rsit=

Soft Tissue Sarcoma Adult x immunotherapy x

Country United States x State x City x Distance x

Search Advanced Search

3 Studies found for: immunotherapy | Recruiting Studies | Soft Tissue Sarcoma Adult | United States | Adult

Applied Filters:  Recruiting  Adult (18-64)

Not enough studies found? Try these [search suggestions](#).

List By Topic On Map Search Details

Hide Filters Download Subscribe to RSS Show/Hide Columns

| Row | Saved                    | Status     | Study Title   | Conditions  | Interventions  | Locations  |
|-----|--------------------------|------------|---|---|--|--|
| 1   | <input type="checkbox"/> | Recruiting | <a href="#">B7H3 CAR T Cell Immunotherapy for Recurrent/Refractory Solid Tumors in Children and Young Adults</a>    | <ul style="list-style-type: none"> <li>Pediatric Solid Tumor</li> <li>Germ Cell Tumor</li> <li>Retinoblastoma</li> <li>(and 14 more...)</li> </ul>                            | <ul style="list-style-type: none"> <li>Biological: second generation 4-1BB<math>\zeta</math> B7H3-EGFRt-DHFR</li> <li>Biological: second generation 4-1BB<math>\zeta</math> B7H3-EGFRt-DHFR(selected) and a second generation 4-1BB<math>\zeta</math> CD19-Her2tG</li> </ul> | <ul style="list-style-type: none"> <li>Seattle Children's Hospital Seattle, Washington, <b>United States</b></li> </ul>  |
| 2   | <input type="checkbox"/> | Recruiting | <a href="#">EGFR806 CAR T Cell Immunotherapy for Recurrent/Refractory Solid Tumors in Children and Young Adults</a> | <ul style="list-style-type: none"> <li>Pediatric Solid Tumor</li> <li>Germ Cell Tumor</li> <li>Retinoblastoma</li> <li>(and 13 more...)</li> </ul>                            | <ul style="list-style-type: none"> <li>Biological: second generation 4-1BB<math>\zeta</math> EGFR806-EGFRt</li> <li>Biological: second generation 4-1BB<math>\zeta</math> EGFR806-EGFRt and a second generation 4 1BB<math>\zeta</math> CD19-Her2tG</li> </ul>               | <ul style="list-style-type: none"> <li>Seattle Children's Hospital Seattle, Washington, <b>United States</b></li> </ul>  |
| 3   | <input type="checkbox"/> | Recruiting | <a href="#">Study of Nivolumab and Ipilimumab in Children and Young Adults With IN11-Negative Cancers</a>           | <ul style="list-style-type: none"> <li>Malignant Rhabdoid Tumor</li> <li>Rhabdoid Tumor of the Kidney</li> <li>Epithelioid <b>Sarcoma</b></li> <li>(and 3 more...)</li> </ul> | <ul style="list-style-type: none"> <li>Drug: Nivolumab</li> <li>Drug: Ipilimumab</li> </ul>  | <ul style="list-style-type: none"> <li>Massachusetts General Hospital Boston, Massachusetts, <b>United States</b></li> <li>Boston Children's Hospital Boston, Massachusetts, <b>United States</b></li> <li>Dana-Farber Cancer Institute Boston, Massachusetts, <b>United States</b></li> </ul> |

Filters

Apply Clear

Status

Recruitment

- Not yet recruiting
- Recruiting
- Enrolling by invitation
- Active, not recruiting
- Suspended
- Terminated
- Completed
- Withdrawn
- Unknown status<sup>†</sup>

Expanded Access

Eligibility Criteria

Age

11:10 PM 9/2/2021

